SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB APPROVAL									
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Instruction	1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	L	h	ours per respon	se: 0.	
1. Name and A Mitchell S	address of Reporting Steven R	g Person [*]	2. Issuer Name and Ticker or Trading Symbol ALKAMI TECHNOLOGY, INC. [ALKT]		tionship of Rep all applicable) Director	1	L0% Owner	
(Last) (First) (Middle) C/O ARGONAUT PRIVATE EQUITY			3. Date of Earliest Transaction (Month/Day/Year) 10/05/2021		Officer (give below)		Other (specify below)	
7030 S. YALE AVE., STE. 810		310	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	dual or Joint/Group Filing (Check Applicable			
(Street)				X	Form filed by	One Reporting	g Person	
TULSA	OK	74136			Form filed by Person	More than On	e Reporting	
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	10/05/2021		Α		314(1)	Α	\$ <mark>0</mark>	36,287	D	
Common Stock								12,608,061	Ι	See footnote ⁽²⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Amount of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reporting person elected to defer receipt of these shares under the terms of the 2021 Incentive Award Plan.

2. Shares held by ARG Private Equity II, LLC ("APE II"). The Reporting Person, a member of the Issuer's board of directors, serves as an adviser to APE II and may be deemed to have beneficial ownership of the shares held by APE II. The Reporting Person disclaims beneficial ownership of all such shares except to the extent of his pecuniary interest therein.

Remarks:

/s/ Douglas A. Linebarger, as Attorney-in-Fact for Steven R. 10/07/2021 **Mitchell**

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5