

OMB APPROVAL	
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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>SMITH BRIAN R</u> <hr/> (Last) (First) (Middle) <u>C/O S3 VENTURES, 6300 BRIDGE POINT PKWY</u> <u>BUILDING 1, SUITE 405</u> <hr/> (Street) <u>AUSTIN TX 78730</u> <hr/> (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>ALKAMI TECHNOLOGY, INC. [ ALKT ]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)
	3. Date of Earliest Transaction (Month/Day/Year) <u>04/05/2024</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		
Rule 10b5-1(c) Transaction Indication <input type="checkbox"/> Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	04/05/2024		A		666 <sup>(1)</sup>	A	\$0	860,443	D	
Common Stock								18,532,824	I	See footnote <sup>(2)</sup>

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)					

1. Name and Address of Reporting Person* <u>SMITH BRIAN R</u> <hr/> (Last) (First) (Middle) <u>C/O S3 VENTURES, 6300 BRIDGE POINT PKWY</u> <u>BUILDING 1, SUITE 405</u> <hr/> (Street) <u>AUSTIN TX 78730</u> <hr/> (City) (State) (Zip)
1. Name and Address of Reporting Person* <u>S3 Ventures Fund III, L.P.</u> <hr/> (Last) (First) (Middle) <u>6300 BRIDGE POINT PARKWAY</u> <u>BUILDING 1, SUITE 405</u> <hr/> (Street) <u>AUSTIN TX 78730</u> <hr/> (City) (State) (Zip)
1. Name and Address of Reporting Person* <u>S3 VENTURES GPLP III, L.P.</u> <hr/> (Last) (First) (Middle)

(Last) (First) (Middle)

6300 BRIDGE POINT PARKWAY  
BUILDING 1, SUITE 405

(Street)  
AUSTIN TX 78730

(City) (State) (Zip)

1. Name and Address of Reporting Person\*

S3 VENTURES III, L.L.C.

(Last) (First) (Middle)

6300 BRIDGE POINT PARKWAY  
BUILDING 1, SUITE 405

(Street)  
AUSTIN TX 78730

(City) (State) (Zip)

**Explanation of Responses:**

- 1. The reporting person elected to defer receipt of these shares under the terms of the 2021 Incentive Award Plan.
- 2. Shares held directly by S3 Ventures Fund III, L.P. ("S3 Fund III"). S3 Ventures GPLP III, L.P. ("S3 GPLP III") is the general partner of S3 Fund III. S3 Ventures III, L.L.C. ("S3 III LLC") is the General Partner of S3 GPLP III. Brian R. Smith is the Managing Director of S3 III LLC. S3 GPLP III, S3 III LLC and Mr. Smith may be deemed to have beneficial ownership of the shares held by S3 Fund III. S3 GPLP III, S3 III LLC and Mr. Smith each disclaims beneficial ownership of all such shares except to the extent of its or his pecuniary interest therein.

**Remarks:**

/s/ Douglas A. Linebarger, as  
Attorney-in-Fact for Brian R.  
Smith 04/08/2024

/s/ Brian R. Smith for S3  
Ventures Fund III, L.P. 04/08/2024

/s/ Brian R. Smith for S3  
Ventures GPLP III, L.P. 04/08/2024

/s/ Brian R. Smith for S3  
Ventures III, L.L.C. 04/08/2024

\*\* Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**