SEC Form 4

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

L									
OMB Number: 3235-028									
Estimated average burden									
hours per response	: 0.5								

Instruction :	nay continue. See 1(b).		Filed pursuant to Section 16(a) of the Securities Exchange Act of 19	h	hours per response: 0.5		
			or Section 30(h) of the Investment Company Act of 1940				
1. Name and A Bohanon S	ddress of Reporting <u>Stephen</u>	Person [*]	2. Issuer Name and Ticker or Trading Symbol <u>ALKAMI TECHNOLOGY, INC.</u> [ALKT]	3 ,		1	s) to Issuer 0% Owner 0ther (specify
(Last)(First)(Middle)C/O ALKAMI TECHNOLOGY, INC.			3. Date of Earliest Transaction (Month/Day/Year) 02/16/2022		below) Chf. Strate	b egist & Sales	elow) s Offr.
5601 GRAN	IITE PARKWAY	, SUITE 120	A 16 Annow descende Dates of Original Eilard (Marshir/David/carr)	C. In all is	iskust og teistig		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	Idual of Joint/G	Froup Filing (Cr	neck Applicable
(Street) PLANO	ТХ	75024		X	Form filed by	One Reporting	J Person
	17	73024			Form filed by More than O Person		One Reporting
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (I 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	02/16/2022		A		388,500 ⁽¹⁾	Α	\$ <mark>0</mark>	414,333 ⁽²⁾	D	
Common Stock								718,673	Ι	See footnote ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Expiration Date Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ite	Amount of			9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents restricted stock units ("RSUs") which vest in 16 quarterly installments with a vesting commencement date of March 1, 2022. Each RSU represents a contingent right to receive one share of common stock.

2. Includes 833 shares acquired under the Issuer's employee stock purchase plan.

3. The amount of securities beneficially owned following the transaction reported herein includes: (1) 339,116 shares held of record by Botech Trust, of which the Reporting Person is trustee, (2) 339,116 shares held of record by KBCouture Trust, of which the Reporting Person's spouse is trustee, and (3) 40,441 shares held of record by Bohanon Consulting, of which the Reporting Person is an officer and director.

Remarks:

<u>/s/ Douglas A. Linebarger, as</u> <u>Attorney-in-Fact for Stephen</u> <u>02/18/2022</u> Bohanon

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.